

- 27 -

**PRIORITY CLAIM (35 U.S.C. § 120)**

I claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below, each of which is incorporated by reference in its entirety. Insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| Appln. No. | U.S. Appln. No.<br>(if any under PCT) | Filing Date | Status |
|------------|---------------------------------------|-------------|--------|
| _____      | _____                                 | _____       | _____  |
| _____      | _____                                 | _____       | _____  |

**DECLARATION**

I declare that all statements made herein that are of my own knowledge are true and that all statements that are made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**DESIGNATION OF CORRESPONDENCE ADDRESS**

Please address all correspondence and telephone calls to Robert M. Angus in care of:

WESTMAN, CHAMPLIN & KELLY, P.A.  
Suite 1600 - International Centre  
900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222 Fax: (612) 334-3312

Inventor: \_\_\_\_\_ Date: \_\_\_\_\_  
(Signature)

Inventor: Joel W. Hoehn  
(Printed Name)

Residence: Cottage Grove, MN Citizenship: U.S.A.

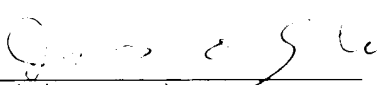
P.O. Address: 9322 83rd Street South, Cottage Grove, MN 55016

Inventor: *John W. Dykes* Date: 18 July 2001  
(Signature)

Inventor: John W. Dykes  
(Printed Name)

Residence: Eden Prairie, Minnesota Citizenship: U.S.A.

P.O. Address: 9329 Shetland Road, Eden Prairie, MN 55347

Inventor:  Date: 7/18/01  
(Signature)

Inventor: James E. Angelo  
(Printed Name)

Residence: Burnsville, MN Citizenship: U.S.A.

P.O. Address: 14745 Portland Avenue South #214, Burnsville, MN 55306

Inventor:  Date: 7/18/01  
(Signature)

Inventor: William D. Mosley  
(Printed Name)

Residence: Savage, MN Citizenship: U.S.A.

P.O. Address: 13564 Maryland Court, Savage, MN 55378

Inventor: \_\_\_\_\_ Date: \_\_\_\_\_  
(Signature)

Inventor: Richard T. Greenlee  
(Printed Name)

Residence: Hastings, MN Citizenship: U.S.A.

P.O. Address: 1508 West 15th Street, Hastings, Minnesota 55033

Inventor: \_\_\_\_\_ Date: \_\_\_\_\_  
(Signature)

Inventor: Brian W. Karr  
(Printed Name)

Residence: Savage, MN Citizenship: U.S.A.

P.O. Address: 5237-145th Street West, Savage, MN 55378

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Suite 1600 - International Centre  
900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222 Fax: (612) 334-3312

Inventor: *Joel W. Hoehn*  
(Signature)

Date: 7/17/2001

Inventor: Joel W. Hoehn  
(Printed Name)

Residence: ~~Cottage Grove, MN~~ *Hudson, WI* Citizenship: U.S.A.

P.O. Address: ~~9322 83rd Street South, Cottage Grove, MN 55016~~  
*655 H. H. Hwy. S. Hudson, WI*

Inventor: \_\_\_\_\_  
(Signature)

Date: \_\_\_\_\_

Inventor: John W. Dykes  
(Printed Name)

Residence: Eden Prairie, Minnesota Citizenship: U.S.A.

P.O. Address: 9329 Shetland Road, Eden Prairie, MN 55347

Inventor: \_\_\_\_\_ Date: \_\_\_\_\_  
(Signature)

Inventor: James E. Angelo  
(Printed Name)

Residence: Burnsville, MN Citizenship: U.S.A.


P.O. Address: 14745 Portland Avenue South #214, Burnsville, MN 55306

Inventor: \_\_\_\_\_ Date: \_\_\_\_\_  
(Signature)

Inventor: William D. Mosley  
(Printed Name)

Residence: Savage, MN Citizenship: U.S.A.

P.O. Address: 13564 Maryland Court, Savage, MN 55378

Inventor:  Date: 7-17-01  
(Signature)

Inventor: Richard T. Greenlee  
(Printed Name)

Residence: Hastings, MN Citizenship: U.S.A.

P.O. Address: 1508 West 15th Street, Hastings, Minnesota 55033

Inventor:  Date: 7/17/01  
(Signature)

Inventor: Brian W. Karr  
(Printed Name)

Residence: Savage, MN Citizenship: U.S.A.

P.O. Address: 5237-145th Street West, Savage, MN 55378

AUG 13 2001

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|              |  |                 |      |
|--------------|--|-----------------|------|
| Inventor(s): | Joel W. Hoehn et al.                                     | Examiner:       |      |
| Serial No.:  | 09/871,004   | Group Art Unit: | 1762 |
| Filed:       | May 31, 2001   |                 |      |
| Title:       | PROCESS FOR PRODUCTION OF ULTRATHIN PROTECTIVE OVERCOATS |                 |      |
| Docket:      | S01.12-0805/STL 9643                                     |                 |      |

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST  
(REVOCATION OF PRIOR POWERS)**

As assignee of record of the entire interest of the above identified application,

**REVOCATION OF PRIOR POWERS OF ATTORNEY**

all powers of attorney previously given are hereby revoked and

**NEW POWER OF ATTORNEY**

the following practitioners/patent agent are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith.

|  |   |
|--|---|
| Shawn B. Dempster, Registration No. 34,321 | Edward P. Heller, III, Registration No. 29,075    |
| Jonathan E. Olson, Registration No. 41,231 | Raghunath S. Minisandram, Registration No. 38,683 |
| Derek J. Berger, Registration No. 45,401   | Mitchell K. McCarthy, Registration No. 38,794     |
| Kirk A. Cesari, Registration No. 47,479    | Carol I. Bordas, Registration No. 37,284          |
| Paul T. Dietz, Registration No. 38,858     |   |

And members of the firm of Westman, Champlin & Kelly, P.A.:

Judson K. Champlin, Reg. No. 34,797; Joseph R. Kelly, Reg. No. 34,847; Nickolas E. Westman, Reg. No. 20,147; Steven M. Koehler, Reg. No. 36,188; David D. Brush, Reg. No. 34,557; John D. Veldhuis-Kroeze, Reg. No. 38,354; Deirdre Megley Kvale, Reg. No. 35,612; Theodore M. Magee, Reg. No. 39,758; Christopher R. Christenson, Reg. No. 42,413; Brian D. Kaul, Reg. No. 41,885; Robert M. Angus, Reg. No. 24,383; Christopher L. Holt, Reg. No. 45,844; Alan G. Rego, Reg. No. 45,956; and David C. Bohn, Reg. No. 32,015

**CHANGE OF ATTORNEY'S/AGENT'S ADDRESS IN APPLICATION**

Westman, Champlin & Kelly  
900 Second Avenue South  
Suite 1600 - International Centre  
Minneapolis, MN 55402-3319  
(612)334-3222 (Phone)  
(612)334-3312 (Fax)

**STATEMENT UNDER 37 CFR 3.73(b)**

Seagate Technology LLC states that it is the Assignee of Entire Interest in the patent application/patent identified above by virtue of an Assignment from the inventor(s) of the patent application/patent identified above. A copy of the Assignment is attached and/or was recorded in the Patent and Trademark Office at Reel , Frame . The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the Assignee.

Respectfully submitted,

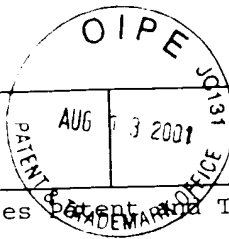
SEAGATE TECHNOLOGY LLC  
(Assignee of Entire Interest)

Aug 9, 2001  
Date

  
Paul T. Dietz, Reg. No. 38,858

Patent Attorney  
SEAGATE TECHNOLOGY LLC  
Mail Stop: NR360  
7801 Computer Avenue  
Bloomington, MN 55435-5489  
(952) 844-7115 (telephone)  
(952) 844-8187 (facsimile)

**PATENT RECORDATION FORM  
COVER SHEET**



Attorney Docket No.  
S01.12-0805

To the Honorable Director of the United States Patent and Trademark Office: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

- (1) Joel W. Hoehn
- (2) John W. Dykes
- (3) James E. Angelo
- (4) William D. Mosley
- (5) Richard T. Greenlee
- (6) Brian W. Karr

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Seagate Technology LLC

Internal Address: \_\_\_\_\_

Street Address: 920 Disc Drive

City Scotts Valley State CA ZIP 95066

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment

Execution Date: 7/17/01 and 7/18/01

4A. Application No.(s)

09/871,004

If this document is being filed together with a new application, the execution date(s) of the application is: \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

4B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert M. Angus

Street Address: Westman, Champlin & Kelly, P.A.  
Suite 1600  
International Centre  
900 Second Avenue South

City: Minneapolis State: MN ZIP 55402

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41):.....\$ 40.00

8. Method of Payment

☒ Enclosed

☒ The Director is authorized to charge payment of any additional recording fees or credit any overpayment to deposit account No. 23-1123.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert M. Angus

Name of Person Signing

Robert M. Angus  
Signature

August 9, 2001  
Date

Total number of pages submitted: [4]

## ASSIGNMENT

Attorney Docket No. : S01.12-0805

Seagate Docket No. : STL 9643

WHEREAS, I/we Joel W. Hoehn of 9322 83rd Street South, Cottage Grove, MN 55016, John W. Dykes of 9329 Shetland Road, Eden Prairie, MN 55347, James E. Angelo of 14745 Portland Avenue South #214, Burnsville, MN 55306, William D. Mosley of 13564 Maryland Court, Cottage Grove, MN 55378, Richard T. Greenlee of 1508 West 15th Street, Hastings, MN 55378 and Brian W. Karr of 5237-145th Street West, Savage, MN 55378 are the inventor(s) of an invention entitled PROCESS FOR PRODUCTION OF ULTRATHIN PROTECTIVE OVERCOATS that is the subject matter of: (check all that apply)

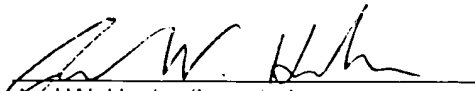
- ☒ a provisional application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application Nos. 60/208,873, 60/208,760 and 60/208,790 filed on June 1, 2000, June 2, 2000 and September 15, 2000, respectively;
- ☒ an application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. 09/871,004 filed on May 31, 2001, S01.12-0805/STL 9643, and/or executed on even date herewith; and
- ☐ an international application for Letters Patent filed pursuant to the Patent Cooperation Treaty which is identifiable in the United States Receiving Office by Application No. \_\_\_\_\_ filed on \_\_\_\_\_; and

WHEREAS, Seagate Technology LLC, a limited liability company organized and existing under the laws of the State of Delaware and the United States of America, and having offices at 920 Disc Drive, Scotts Valley, California, 95066 USA, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the applications, and any and all Letters Patent or similar foreign or domestic legal protection;

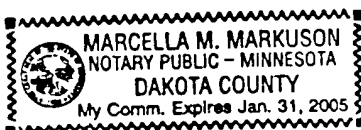
NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/we transfer to Assignee, its successors and assigns, my/our entire right, title and interest in and to the invention, the above-identified applications, all provisional applications from which any of the above-identified applications claim priority, corresponding domestic and foreign applications, any continuation, division, renewal, or substitute for the applications, all Letters Patent, any reissue, re-examination, or similar legal protection issuing related to the Letters Patent, and all rights and benefits under any applicable treaty or convention; and I/we authorize the Director of the United States Patent and Trademark Office or foreign equivalent to issue the Letters Patent or similar legal protection to the Assignee.


I/We authorize the Assignee, its successors and assigns, to insert in this instrument the filing date(s) and application numbers when ascertained. I/We further authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries and appoint Assignee the common representative in the above identified international application and any international application for the invention.

I/We represent to the Assignee, its successors and assigns, that I/we have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I/We, my/our executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute and deliver to Assignee or its legal representatives such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries, including without limitation providing testimony in any related interference, litigation or proceeding.

  
Joel W. Hoehn (Inventor)

Subscribed and sworn to before me at Hennepin County, MINNESOTA, a Notary Public, this 17<sup>th</sup> day of July, 2001.

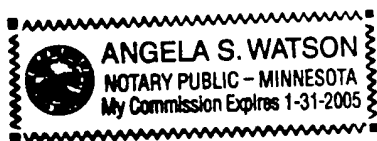


  
Notary Public



John W. Dykes  
John W. Dykes (Inventor)

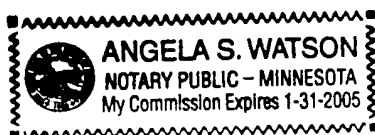
Subscribed and sworn to before me at Dakota County, MINNESOTA, a Notary Public, this 18<sup>th</sup> day of July, 2001.



Angela S. Watson  
Notary Public

James E. Angelo  
James E. Angelo (Inventor)

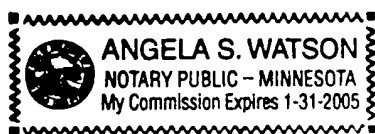
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Angela S. Watson  
Notary Public

William D. Mosley  
William D. Mosley (Inventor)

Subscribed and sworn to before me at Dakota County, MINNESOTA, a Notary Public, this 18 day of July, 2001.



Angela S. Watson  
Notary Public

Richard T. Greenlee  
Richard T. Greenlee (Inventor)

Subscribed and sworn to before me at \_\_\_\_\_ County, MINNESOTA, a Notary Public, this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

Brian W. Karr  
Brian W. Karr (Inventor)

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\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
John W. Dykes (Inventor)

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\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
James E. Angelo (Inventor)

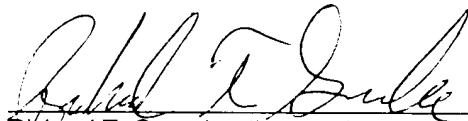
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\_\_\_\_\_  
Notary Public

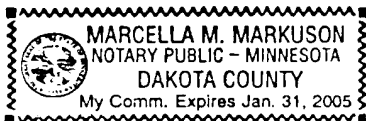
\_\_\_\_\_  
William D. Mosley (Inventor)

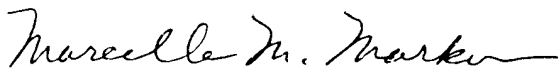
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\_\_\_\_\_  
Notary Public

  
Richard T. Greenlee (Inventor)

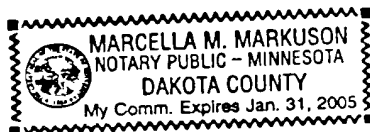
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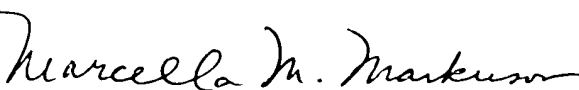


  
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